

# AWARDS & ACCOLADES\*

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## Bloomberg

TOP 50 REGISTERED INVESTMENT ADVISORS – 2018



Best Independent Investment Management Firm 2018 - New York



FT 300 Ranking June 2015



FT 300 Ranking June 2014

\* See Disclosures on other side

## Award Disclosures:

### Award Granting Entity

Investment News RIA Ranking  
Financial Advisor Survey & Ranking  
FT Top Financial Advisors  
Wealth & Finance International - Women in Wealth  
Wealth & Finance International - Best Independent Investment Management Firm - New York  
Bloomberg Markets: Top 50 Registered Investment Advisers

### Ranking/Methodology

[https://data.investmentnews.com/ria/?V=WHERE|6\\_35460613|NY](https://data.investmentnews.com/ria/?V=WHERE|6_35460613|NY)  
[https://www.fa-mag.com/userfiles/000002018\\_IMAGES\\_ALL/FA\\_ISSUES\\_2018/07\\_JULY\\_2018/RIA\\_2018\\_Ranking.pdf](https://www.fa-mag.com/userfiles/000002018_IMAGES_ALL/FA_ISSUES_2018/07_JULY_2018/RIA_2018_Ranking.pdf)  
<https://www.ft.com/content/1ad3a626-2c30-11e7-bc4b-5528796fe35c>  
<https://www.wealthandfinance-news.com/awards/women-in-wealth-awards/>  
<https://www.wealthandfinance-news.com/awards/wealth-and-money-management-awards/>  
The Bloomberg Markets ranking includes RIAs that provide financial planning services registered with the U.S. Securities and Exchange Commission which reported that more than 75 percent of their clients are considered high net worth, meaning they have at least \$2 million in net worth or at least \$1 million invested with the adviser. The ranking is based on reporting to the SEC as of June 2, 2018. It used filings as of June 2, 2017 for year-over-year comparisons. The ranking excludes those advisory firms that take commissions or are affiliated with a bank, insurer or other financial firm. Barrett Asset Management did not pay to participate in this ranking.

### Award Date/s

June 2018  
July 2018  
June 2014 and June 2015  
September 2016  
July 2018  
July 2018

No payments were made to be considered for or awarded the above honors.

The term of each award is one-year.

All awards were granted to Barrett Asset Management LLC, with the exception of the Wealth & Finance International - Women in Wealth award which honored Christina Bater, CFP®

These awards were advertised and promoted in the following material and online sites:  
[www.barrettasset.com](http://www.barrettasset.com)  
<https://www.linkedin.com/company/barrett-asset-management-llc/>  
<https://www.facebook.com/BarrettAsset/>  
Internally prepared Sales and Marketing Materials